

# Order, portfolio and risk management, all in a single solution

## ORDER, PORTFOLIO & RISK MANAGEMENT, ACROSS THE INVESTMENT LIFECYCLE, IN A SINGLE SOLUTION

We enable all of your organization to work off of the same data-set, reducing operational risks and enabling the firm to focus on alpha generation.

## BROAD INSTRUMENT COVERAGE

Regardless of how you seek to express your portfolio views, be it across Bonds or Credit/Interest Rate Derivatives, we have the broadest instrument and derivatives coverage to support your strategies.

		-1.25%	-1%	-0.75%	-0.5%	-0.25%
1d	Market Value Δ	-33,243	-26,648	-20,058	-13,474	-6,893
	DV01	10,628	10,620	10,611	10,603	10,595
1M	Market Value Δ	-42,694	-36,110	-29,530	-22,955	-16,386
	DV01	10,659	10,651	10,642	10,634	10,626
2M	Market Value Δ	-52,151	-45,575	-39,006	-32,441	-25,882
	DV01	10,691	10,683	10,675	10,667	10,659
3M	Market Value Δ	-62,637	-56,275	-49,718	-43,166	-36,618
	DV01	10,723	10,715	10,707	10,700	10,692
6M	Market Value Δ	-107,001	-100,636	-94,277	-87,922	-81,571
	DV01	10,263	10,256	10,248	10,240	10,233
1Y	Market Value Δ	-141,708	-135,589	-129,474	-123,363	-117,257
	DV01	9,889	9,881	9,874	9,867	9,860
2Y	Market Value Δ	-242,078	-236,475	-230,875	-225,279	-219,686
	DV01	9,132	9,126	9,120	9,114	9,108

Visualize the Exposure across your portfolio with flexible Sensitivity Grids, this one showing Interest Rate Exposure across all Tenor Buckets

## COMPREHENSIVE FUNCTIONALITY

### Trading and Order Management

- Trade off of real-time portfolio sensitivities such as CR01s, DV01s & Greeks
- Route orders directly to banks/brokers or via execution platforms
- Connectivity to Broadridge’s NYFIX order routing network
- Real-time exposure, position and P&L views
- Investment Mandate & Regulatory Compliance tools, including real-time alerts, and customizable rules



Visual Analytics: See and better understand your Investment Performance, Exposure and Market Risk Profile

### Portfolio Management

- Flexible portfolio views – issuer, credit rating, strategy, key tenor rates etc
- OTC derivative valuation, including derivatives such as Swaptions and Binary Options
- Automated security terms and conditions setup and maintenance
- Powerful rebalancing and portfolio modeling
- Cash management and inception-to-date record keeping
- NAV analysis, full shadow accounting, and reporting
- Automated corporate action processing
- Historical as-of-reporting (DTD, MTD, custom periods)
- Automated reconciliations with counterparties

## Firm-Wide Management and Client Reporting

- Broad library of portfolio, exposure, operational, and financial reports
- Integrated report designer for modifying existing reports or creating custom reports
- Automated report generation and distribution
- Online data analysis tools including full time-series from inception



Our new digital experience, including the Trade Blotter, enables broader access from tablets and mobile devices.

## Risk Management

- Scenario Analysis
  - View the impact of scenarios on any set of positions, portfolios, or strategies
  - Compare the impact of scenarios on actual and model portfolio positions
- Value-at-Risk
  - Calculate VaR intraday (including marginal, incremental, component, and conditional)
- Sensitivity Analysis
  - Greeks and interest rate sensitivities

## GLOBAL SUPPORT AND SERVICE MODEL

Our global data centers and offices allow us to support clients in Asia, Europe, Middle East, and North America with 24/6 support. We employ highly experienced technical professionals positioned globally within their respective markets.

## ABOUT BROADRIDGE

Broadridge is the leading provider of next generation investment management solutions addressing the real-time, front-to-back office needs of hedge funds, asset managers, fund administrators, and prime brokers. Our multi-asset class solutions help simplify the management of complex instruments and strategies, improve operational efficiencies, and help lower the costs of managing multi-prime hedge assets.

Broadridge Financial Solutions (NYSE: BR), a global Fintech leader with \$5 billion in revenues, provides the critical infrastructure that powers investing, corporate governance, and communications to enable better financial lives. We deliver technology-driven solutions that drive business transformation for banks, broker-dealers, asset and wealth managers and public companies. Broadridge's infrastructure serves as a global communications hub enabling corporate governance by linking thousands of public companies and mutual funds to tens of millions of individual and institutional investors around the world. Our technology and operations platforms underpin the daily trading of more than U.S. \$9 trillion of equities, fixed income and other securities globally.

[broadridge.com](http://broadridge.com)

